



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/939,529	08/24/2001	J. J. Garcia -Luna -Aceves	UC2000-349-2

John P. O'Banion
O'BANION & RITCHEY LLP
Suite 1550
400 Capitol Mall
Sacramento, CA 95814



CONFIRMATION NO. 2491

FORMALITIES LETTER



OC000000006820942

Date Mailed: 10/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

12/12/2001 SHINASS1 00000022 09939529

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 OP
02 FC:203	117.00 OP
03 FC:202	84.00 OP
04 FC:205	65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$197.
 - \$117 for 13 total claims over 20.
 - \$80 for 2 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 617.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



12-100101

✓ SECTOR #3

PATENT

Docket No.: UC2000-349-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: J.J. GARCIA-LUNA-ACEVES; JYOTI RAJU
Serial No.: 09/939,589 529
Filed: AUGUST 24, 2001
For: DYNAMIC SOURCE TRACING (DST) ROUTING PROTOCOL FOR WIRELESS NETWORKS
Group:
Examiner:

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

SUBMISSION OF MISSING PARTS OF APPLICATION
UNDER 37 C.F.R. § 1.53

Dear Sir:

In response to the Notice to File Missing Parts of Application (PTO-1533) mailed October 1, 2001, a copy of which is enclosed, please find the following documents which are incorporated by reference herein:

1. Combined Declaration and Power of Attorney;
2. Power of Attorney by Assignee and Exclusion of Inventor(s) Under 37 C.F.R. 3.71;
3. Assignee Certification Under 37 C.F.R. 3.73(b);
4. Information Disclosure Statement;
5. PTO Form 1449;
6. Copies of Citations Listed on PTO Form 1449;
7. Check in the amount of \$636 (\$571 filing fees and \$65 surcharge for the fees due as a small entity);
8. Assignment Cover Letter;
9. Assignment;
10. Check in the amount of \$40 (assignment recording fee).

The amount due for the filing fees and surcharge has been computed as follows:

CLAIMS AS FILED							
	Number filed			Number Extra		Rate	Basic Fee \$ 740.00
Total							
Claims 37 CFR 1.16(c)	33	- 20	=	13	X	\$18.00 =	234.00
Independent							
Claims (37 CFR 1.16(b))	5	- 3	=	2	X	\$84.00 =	168.00
Multiple dependent claim(s), if any (37 CFR 1.16(d))					+	\$280.00 =	

Filing Fee Calculation \$ 1,142.00

Surcharge \$ 130.00

TOTAL FILING FEE \$ 1,272.00

Small Entity Statement(s)

X Applicant qualifies as a small entity under 37 CFR 1.9 and 1.27.

Filing Fee Calculation (50% of above) \$ 636.00

Amount already paid LESS (\$)

TOTAL FILING FEES DUE \$ 636.00

X Assignment recording fee \$ 40.00

___ Petition fee \$ 0

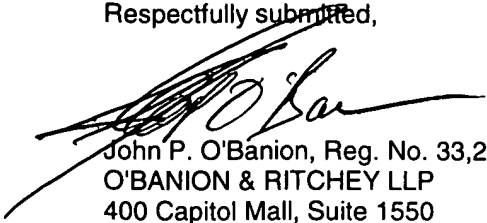
TOTAL FEES DUE \$ 676.00

Please charge any additional fees due to deposit account no. 07-1137.

Having now complied with all of the requirements of 37 C.F.R. 1.53, the Applicants respectfully request that this application be placed upon the files for examination.

Dated: November 30, 2001.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John P. O'Banion", is written over the typed name and address.

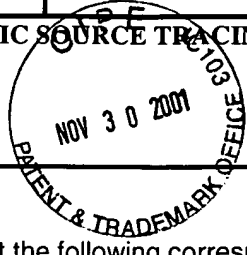
John P. O'Banion, Reg. No. 33,201
O'BANION & RITCHEY LLP
400 Capitol Mall, Suite 1550
Sacramento, CA 95814
(916) 498-1010

#3.

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)		Docket No.
Applicant(s): J.J. GARCIA-LUNA-ACEVES; JYOTI RAJU		UC2000-349-2

Serial No. 09/939,339 529	Filing Date AUGUST 24, 2001	Examiner	Group Art Unit 2681
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Invention: DYNAMIC SOURCE TRACING (DST) ROUTING PROTOCOL FOR WIRELESS NETWORKS

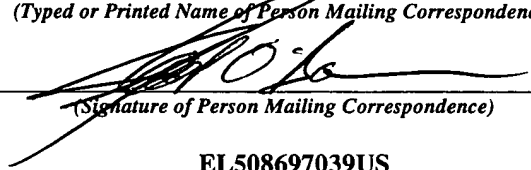


I hereby certify that the following correspondence:

Submission of Missing Parts of Application (Page 1 thru 3)

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on
November 30, 2001
(Date)

JOHN P. O'BANION
(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)
EL508697039US
("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **J.J. GARCIA-LUNA-ACEVES; JYOTI RAJU**

Docket No.

UC2000-349-2

Serial No.

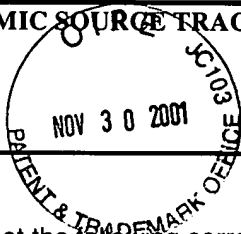
09/939,539 529

Filing Date

AUGUST 24, 2001

Examiner

Group Art Unit

2681Invention: **DYNAMIC SOURCE TRACING (DST) ROUTING PROTOCOL FOR WIRELESS NETWORKS**

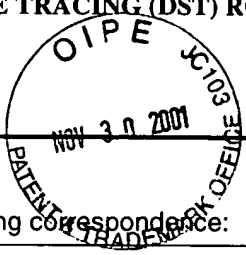
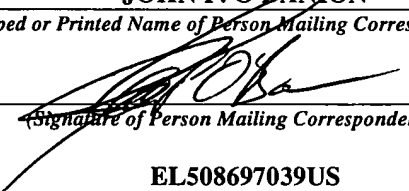
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Combined Declaration and Power of Attorney (Page 1 thru 5)*(Identify type of correspondence)*

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*(Signature of Person Mailing Correspondence)***EL508697039US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

#3

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No.
Applicant(s): J.J. GARCIA-LUNA-ACEVES; JYOTI RAJU			UC2000-349-2
Serial No. 09/939,539 529	Filing Date AUGUST 24, 2001	Examiner	Group Art Unit 2681
Invention: DYNAMIC SOURCE TRACING (DST) ROUTING PROTOCOL FOR WIRELESS NETWORKS			
<div style="text-align: center;"></div>			
I hereby certify that the following correspondence:			
<div style="border: 1px solid black; padding: 5px;">Notice to file missing parts of nonprovisional application (Page 1)</div>			
(Identify type of correspondence)			
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on			
November 30, 2001 (Date)			
<div>JOHN P. O'BANION (Typed or Printed Name of Person Mailing Correspondence)</div> <div> (Signature of Person Mailing Correspondence)</div> <div>EL508697039US ("Express Mail" Mailing Label Number)</div>			
Note: Each paper must have its own certificate of mailing.			

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): J.J. GARCIA-LUNA-ACEVES; JYOTI RAJU

Docket No.

UC2000-349-2

Serial No.

09/939,539 529

Filing Date

AUGUST 24, 2001

Examiner

Group Art Unit

2681

Invention: DYNAMIC SOURCE TRACING (DST) ROUTING PROTOCOL FOR WIRELESS NETWORKS

I hereby certify that the following correspondence:

Power of Attorney by Assignee (Page 1)

(Identify type of correspondence)

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November 30, 2001*(Date)*JOHN P. O'BANION*(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)*EL508697039US*("Express Mail" Mailing Label Number)*

Note: Each paper must have its own certificate of mailing.

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **J.J. GARCIA-LUNA-ACEVES; JYOTI RAJU**

Docket No.

UC2000-349-2

Serial No.

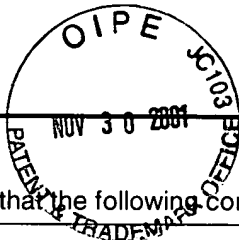
09/939,529 529

Filing Date

AUGUST 24, 2001

Examiner

Group Art Unit

2681Invention: **DYNAMIC SOURCE TRACING (DST) ROUTING PROTOCOL FOR WIRELESS NETWORKS**

I hereby certify that the following correspondence:

Citations (23)

(Identify type of correspondence)

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November 30, 2001*(Date)***JOHN P. O'BANION***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EL508697039US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**